







Ref	Description of Risk/ Opportunity	Risk Level	Further Action required	Accountable Officer	Accountable Group	Corporate Priorities 2016-20	Mitigated Risk Level	Assurance level of controls (1 to 5)	Direction of Travel
L1	Continue delivering a balanced budget through the Medium Term Financial Strategy and the Income Generation and Enterprise Plan	High	Monitor and review any overspends and implement the new Income Generation and Enterprise Plan (Commercialisation agenda)	Chief Finance Officer the with efficiencies being delivered by Heads of Service & Board	Leadership Team & Income Gen Board	Managing Resources Delivering further efficiency savings and cost reductions	High	4	
L2	Limited staffing resources in some areas affecting resilience and capacity in delivering the service delivery plan	High	Review impact of pay and reward scheme. Analyse the risks in key services and develop handling plans to improve resilience Implement restructure	Leadership Team and Head of HR & Org Dev.	Leadership Team	Managing Resources – Developing multi skilled & motivated staff & delivering further efficiency savings and cost reductions	High	2	

L3	Delivering and implementing the Local Plan	High	An updated timetable has been agreed for the implementation of the Local Plan and the Masterplan being developed	Leadership Team	L&PPC	Underpinning all Corporate Priorities. Statutory requirement.	High	3	
L4	Lack of stability in IT systems , support and governance	High	Stabilisation and strengthening of the IT team Implement new governance arrangements with the ICT new ways of working group Review IT business continuity arrangements	Head of Revs , Bens & IT	Council	Underpinning all Corporate Priorities.	High	3	
L5	The Council is at risk of the being put in special measures for planning decisions	High	Continue to implement the improvement plan from the Planning Peer Review	Head of Planning	Leadership Team	Statutory requirement	High	2	
L6	Failure to implement improvements to data protection from GDPR resulting in possible data breaches	High	Mandatory training completed Data Protection Policy updated and GDPR action plan updated	Head of Legal/Head of Corporate Governance	Statutory Officer	Providing services digitally	Med	2	

L7	A lack of capacity and skills to deliver the commercialisation and enterprise agenda	High	Continue to review and develop skills and resources. Clear project plan	Leadership Team and Head of HR & OD.	Leadership Team/ HR Panel	Developing multi skilled & motivated staff	Med	3	
L8	Delivery of a viable project for the regeneration of the wells	Med	Report to S&R in Sept Consultation in Oct and planning permission due to be submitted in Nov	Head of Prop & Reg	S&R	Supporting and enabling the delivery of affordable homes	Med	3	NEW
L9	Successful implementation of Plan E Project Plan through SCC	High	Monitor costs and schedules within the SCC Project Plan Manage the risks of delivery through a third party. Report on additional costs to S&R	Chief Operating Officer	Leadership Team & S&R	Managing Resources	High	2	
L10	Impact of welfare reforms including the homeless reduction act	Med	No of households in temporary accommodation has reduced due to changes to provision of service	Head of Housing & Comm	S&R/ C&W	Supporting and enabling the delivery of affordable homes. Supporting those at risk of homelessness	Med	5	

Defined Strategic Risk Levels

	Low	Medium	High
<u>Impact of risk materialising</u>			
Finance –	Budget pressures < £100,000	Budget pressures between £100,000 and £200,000	Budget pressures > £200,000
Reputation	Loss of confidence and trust in the council felt by a small group or within a small geographical area	A limited loss of confidence and trust in the council within the local community	A major loss of confidence and trust in the council within the local community and wider with national interest
Service delivery	Disruption to a council service	Some disruption to more than one service across the council or severe disruption to one service	Severe wide spread disruption to services across the council
Health and Safety	Minor injury or illness requiring minimal intervention or treatment	Moderate injury or illness requiring professional intervention / multiple minor injuries	Extensive and multiple injuries including possible loss of life
Likelihood_of risk materialising	Remote / low probability	Possible / medium probability	Almost certain / highly probably

The above is not prescriptive criteria, but a guidance tool for management.

Assurance levels of the controls scored 1 to 5

Strength of controls is scored on a scale of 1(low) to 5 (high) to identify what is in place to mitigate the risks including controls, processes, action plans and targets.